

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Lek Pharmaceuticals d.d.

Application No./Patent No.: 10/539,501

Filed/Issue Date: September 2, 2005

Titled: Treatment Of Psychostimulant Addiction

Lek Pharmaceuticals d.d., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)  
the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 022414, Frame 0241, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

M. Le-Good  
Signature

Monika Le-Good

Printed or Typed Name

23 July 2009  
Date

Patent Service Specialist

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Lek Pharmaceuticals d.d.Application No./Patent No.: 10/539,501Filed/Issue Date: September 2, 2005Titled: Treatment Of Psychostimulant AddictionLek Pharmaceuticals d.d., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 022414, Frame 0241, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Gillian McCann

Printed or Typed Name

Date

Patent Service Specialist

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

D:MARK S. GRAHAM COMPANY:P.O. BOX 1871

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

\*500810644A\*

MARCH 18, 2009

PTAS

MARK S. GRAHAM  
P.O. BOX 1871  
KNOXVILLE, TN 37901**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/18/2009

REEL/FRAME: 022414/0241

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: LR/G32980A/LEK 64113.US

ASSIGNOR:

KRISCH, IGOR

DOC DATE: 06/01/2005

ASSIGNOR:

ZIVIN, MARKO

DOC DATE: 06/14/2005

ASSIGNOR:

MILIVOJEVIC, NATASA

DOC DATE: 06/13/2005

ASSIGNOR:

RUCMAN, RUDOLF

DOC DATE: 06/27/2005

ASSIGNOR:

BOLE VUNDUK, BREDI

DOC DATE: 06/01/2005

ASSIGNOR:

URLEB, UROS

DOC DATE: 06/03/2005

022414/0241 PAGE 2

ASSIGNEE:

LEK PHARMACEUTICALS D.D.  
VEROVSKOVA 57  
1526 LJUBLJANA, SLOVENIA

SERIAL NUMBER: 10539501

FILING DATE: 09/02/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: TREATMENT OF PSYCHOSTIMULANT ADDICTION

ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

MARK S. GRAHAM COMPANY:P.O. BOX 1871

## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.103/18/2009  
500810644

SUBMISSION TYPE:	NEW ASSIGNMENT														
NATURE OF CONVEYANCE:	ASSIGNMENT														
CONVEYING PARTY DATA															
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Igor Krisch</td><td>06/01/2005</td></tr><tr><td>Marko Zivin</td><td>06/14/2005</td></tr><tr><td>Natasa Milivojevic</td><td>06/13/2005</td></tr><tr><td>Rudolf Rucman</td><td>06/27/2005</td></tr><tr><td>Breda Bole Vunduk</td><td>06/01/2005</td></tr><tr><td>Uros Urieb</td><td>06/03/2005</td></tr></tbody></table>		Name	Execution Date	Igor Krisch	06/01/2005	Marko Zivin	06/14/2005	Natasa Milivojevic	06/13/2005	Rudolf Rucman	06/27/2005	Breda Bole Vunduk	06/01/2005	Uros Urieb	06/03/2005
Name	Execution Date														
Igor Krisch	06/01/2005														
Marko Zivin	06/14/2005														
Natasa Milivojevic	06/13/2005														
Rudolf Rucman	06/27/2005														
Breda Bole Vunduk	06/01/2005														
Uros Urieb	06/03/2005														
RECEIVING PARTY DATA															
<table border="1"><tr><td>Name:</td><td>Lek Pharmaceuticals d.d.</td></tr><tr><td>Street Address:</td><td>Verovskova 57</td></tr><tr><td>City:</td><td>1526 Ljubljana</td></tr><tr><td>State/Country:</td><td>SLOVENIA</td></tr></table>		Name:	Lek Pharmaceuticals d.d.	Street Address:	Verovskova 57	City:	1526 Ljubljana	State/Country:	SLOVENIA						
Name:	Lek Pharmaceuticals d.d.														
Street Address:	Verovskova 57														
City:	1526 Ljubljana														
State/Country:	SLOVENIA														
PROPERTY NUMBERS Total: 1															
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10539501</td></tr></tbody></table>		Property Type	Number	Application Number:	10539501										
Property Type	Number														
Application Number:	10539501														
CORRESPONDENCE DATA															
Fax Number: (865)523-4478 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> Phone: 865-546-4305 Email: mgraham@ing-patent.com Correspondent Name: Mark S. Graham Address Line 1: P.O. Box 1871 Address Line 4: Knoxville, TENNESSEE 37901															
ATTORNEY DOCKET NUMBER:	LR/G32980A/LEK 64113.US														
NAME OF SUBMITTER:	Mark S. Graham														

CH \$40.00 10539501

USPTO

3/18/2009 5:47:50 PM

PAGE

5/005

Fax Server

MARK S. GRAHAM COMPANY: P.O. BOX 1871

Total Attachments: 2

source=84113us-form-ExecutedAssignment#page1.tif.

source=84113us-form-ExecutedAssignment#page2.tif

MARK S. GRAHAM COMPANY P.O. BOX 1871

**UNITED STATES PATENT AND  
TRADEMARK OFFICE****Facsimile Transmission**

**To:**                      **Name:**                      MARK S. GRAHAM  
                             **Company:**                      P.O. BOX 1871  
                             **Fax Number:**                      18655234478  
                             **Voice Phone:**

**From:**                      **Name:**                      ASSIGNMENT SERVICES BRANCH  
                             **Voice Phone:**                      571-272-3350

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

**Fax Notes:**

---

Pg#	Description
1	Cover Page
2	745.TXT
4	Document 1, Batch 1601976

---

**USPTO ASSIGNMENT SYSTEM PROCESSING**

---

Date and time of transmission: Wednesday, March 18, 2009 5:47:44 PM  
Number of pages including this cover sheet: 05

---

ASSIGNMENT

- I / We
1. Igor KRISCH, Sarhova 18, 1526 Ljubljana, Slovenia, citizen of Slovenia
  2. Marko ZIVIN, Vegova 17, 1000 Ljubljana, Slovenia, citizen of Slovenia
  3. Natasa MILIVOJEVIC, Tbilisijska 88, 1000 Ljubljana, Slovenia, citizen of Slovenia
  4. Rudolf RUCMAN, Spodnje Gameljne 72, 1211 Ljubljana - Smartno, Slovenia, citizen of Slovenia
  5. Breda BOLE-VUNDUK, Vrtaca 3, 1000 Ljubljana, Slovenia, citizen of Slovenia
  6. Uros URLEB, Perleceva 29, 1000 Ljubljana, Slovenia, citizen of Slovenia

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Lek Pharmaceuticals d.d., a Company organized under the laws of Slovenia, of Verovskova 57, 1526 Ljubljana, Slovenia** its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

**TREATMENT OF PSYCHOSTIMULANT ADDICTION**

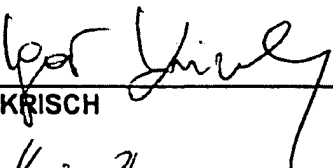
invented by me/us and described in the international application

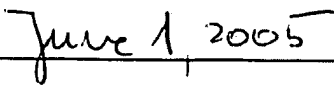
No. PCT/ SI03/00045 filed 11/12/2003

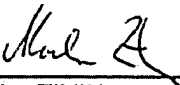
and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Lek Pharmaceuticals d.d.**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

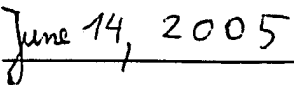
And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Lek Pharmaceuticals d.d.**

Signed on

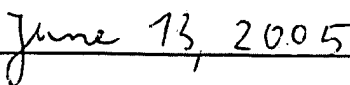
  
Igor KRISCH

  
June 1, 2005

  
Marko ZIVIN

  
June 14, 2005

  
Natasa MILIVOJEVIC

  
June 13, 2005



Rudolf RUCMAN

June 27, 2005

Breda BOLE-VUNDUK

June 1, 2005

Uros URLEB

June 3, 2005



**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address COMMISSIONER FOR PATENTS  
PO Box 1410  
Alexandria, Virginia 22313-1410  
www.uspto.gov

**CUSTOMER  
NUMBER:** 000083721

**CORRESPONDENCE  
ADDRESS:**

Lek (Slovenia) - LUEDEKA, NEELY & GRAHAM, P.C.  
P.O. BOX 1871  
Knoxville, TN 37901

**FAX:** **PHONE:** 865.5464305  
**E-MAIL:** MGRAHAM@LNG-PATENT.COM

Date Mailed: 10/20/2008

**NOTICE OF CUSTOMER NUMBER ASSIGNMENT**

The request to assign a "Customer Number" to the above-identified Correspondence Address and Practitioner Registration Number(s) indicated below has been accepted by the Commissioner of Patents and Trademarks.

The Customer Number as assigned above may be used to identify the correspondence address or "fee address" for, and/or the appointed practitioner(s) in, a United States patent application or patent.

**PRACTITIONER REGISTRATION NUMBER(S) ASSIGNED TO THAT CUSTOMER NUMBER:**

22667, 24233, 28730, 28979, 32355, 34165, 34369, 34940  
39598, 44990, 47507, 47601, 48633, 51975, 52646, 58753  
58947, 61472.

Patents Electronic Business Center  
866-217-9197 (toll-free) or 571-272-4100

**PART I - ATTORNEY/APPLICANT COPY**